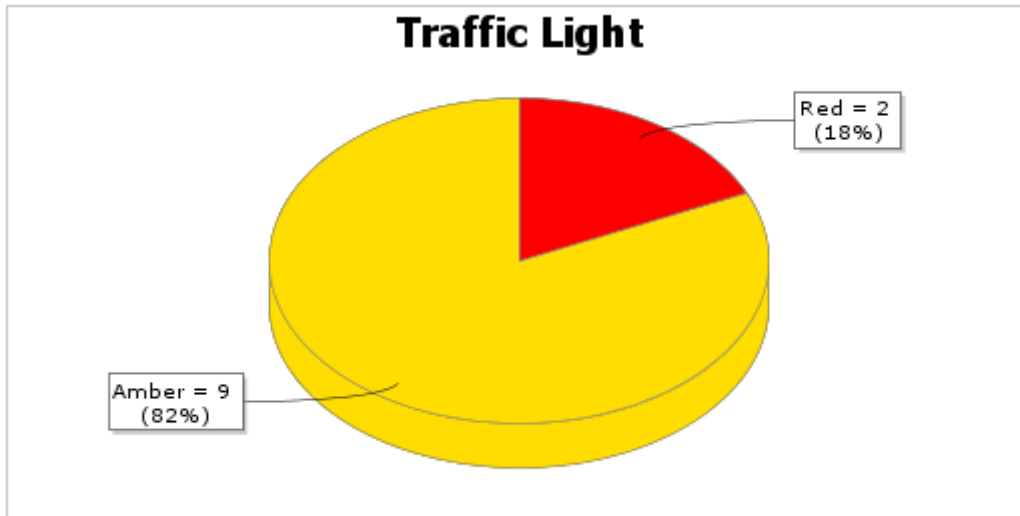
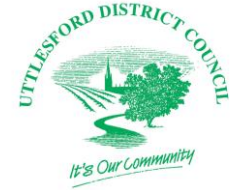





# Corporate & Strategic Risk Register 2014-15 - Quarter 3



**Report Type:** Risks Report  
**Report Author:** Debra Admin\_Collins  
**Generated on:** 30 January 2015







Risk Code & Title	Risk Description	Original Risk Impact	Original Risk Likelihood	Current Impact	Current Likelihood	Current Risk Score	Current Risk Traffic Light Icon	Target Risk Impact	Target Risk Likelihood	Latest Note	Mitigating Actions	Managed By
14-CR 01 Insufficient progress against savings	The council does not make sufficient progress against savings targets identified in the MTFS to achieve the necessary savings.	3	1	2	2	4	▲	3	1	Savings targets agreed in the MTFS will not be achieved in year. However an in-year surplus is predicted and plans are underway for a savings action plan. Recent	A Corporate Team was established in 2010. Savings achieved to date have been approx £1 million per annum	Adrian Webb


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										government statements on austerity indicate prolonged pressure on council services		
14-CR 02 External factors impact negatively on Council's finances	External factors, such as the reforms to local government finance, negatively impact on Council's finances	2	3	2	3	6		2	3	The current position remains the same. The likelihood of this happening in the next two years will increase as will the impact. Recent government statements on austerity indicate prolonged pressure on council services	Work with local government and other partners to share the risks and benefits of reform	Adrian Webb
14-CR 03 LSP decisions do not inform Council policy	Decisions made by the LSP do not inform Council policy	2	2	3	3	9		2	2	Increasing emphasis is being placed on the Council's role in health and wellbeing and this is currently being met through the LSP working group. The appropriate structural links to	Optimise the Localism agenda and ensure that the Council retains its commitment to supporting the voluntary sector where this provides demonstrable value for money. Ensure continued	John Mitchell





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										mainstream corporate policy making do not exist. Solutions to this problem have been placed before Cabinet members.	engagement with partners and the community through channels such as Citizens Panel, Community Forums and Tenant Forum. Continue to review the working of the LSP to ensure it meets the needs of the council, its partners and the community.	
14-CR 04 Local Plan	Failure to meet objectively assessed housing need and identify suitable deliverable sites	3	2	3	2	6		3	2	Since the Q2 assessment, the local plan hearing concluded that the council's local plan did not adequately provide for its objectively assessed housing need. However, the Inspector's conclusions, consultant's progress on a Strategic Housing Market	Complete SHMA, carry out Duty to Cooperate process with authorities cross the housing market area and other neighbouring councils, and issue new call for sites. New member working group established to steer process.	Roger Harborough

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										Assessment Update for the housing market area that is compliant with the latest planning guidance should limit the risk of a new submission draft plan failing to be capable of adoption.		
14-CR 05 External contracts	Contracts with third parties do not benefit the Council & Community financially	3	3	3	2	6		3	1	Major contracts in procurement process.	Robust evaluation of contract bids. Once new contracts in place, constant monitoring of contracts to ensure appropriate implementation	Roger Harborough
14-CR 07 Failure to embed sound Equality & Diversity, H&S & Corporate Governance principles	Failure to embed sound equality & diversity, health & safety and corporate governance principles throughout the authority, which would make it difficult to	3	1	3	1	3		3	1	Informal peer review has given valuable pointers to "achieve" the equality standard. High priority continues to be given to health and safety. Corporate	Necessary information available to all staff and regular training given	John Mitchell

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	then promote these ideals to the community									governance regularly monitored by P&A committee		
14-CR 08 Little money available for Highways improvements	Highways Panel unable to deliver expectations owing to ECC financial constraints	2	3	2	3	6		2	3	Based on estimated costs the Panel's budget envelope for 2014-15 has been fully allocated. Actual costs will be monitored to determine whether adjustments should be made in the light of escalating construction costs	Targeted improvements in district due to local member involvement in Highways Panel/Locality Board	Roger Harborough
14-CR 09 Inability to implement the economic strategy	Inability to implement the economic strategy which could lead to a failure to support existing businesses and attract new investment	3	1	3	1	3		3	1	Demanding workload set in new Action Plan, but progressing well. Additional resource in post.	Implement the economic strategy in conjunction with local business representatives, West Essex partners and allocate budget to support this work	Roger Harborough
14-CR 10 Adverse impact from	The reform of council tax benefits will	3	2	2	2	4		2	2	The LCTS scheme has been	Resource and implement the Council's	Adrian Webb

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reform of council tax benefits	adversely impact some people currently in receipt of benefits									amended for 2014/15 and requires non-vulnerable working age people on low incomes to pay more council tax. The Council has increased its funding for exceptional hardship support.	Local Council Tax Support Policy approved 2012	
14-SR 01 Disruption of Council business	Disruption of council business caused by: loss of building, widespread staff absence, extreme weather conditions	4	2	4	2	8		3	2	This has not been accomplished yet. Most departments have contingency plans for their services but these are not categorically filed or kept centrally at present. Therefore there is a great risk of some services failing during a BC event across the council. It is anticipated that training should start in the coming weeks but is always held	Ensure that emergency plans are in place to provide frontline services. Maintain regular engagement in emergency planning activities, close liaison with county council and regular communication with residents.  Ensure relevant HR policies are in place and understood	Michael Perry

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										up by activities deemed more important by staff/managers at the time		
14-SR 02 Major emergency at the airport	Major emergency at the airport e.g. due to plane crash, terrorism etc.	2	2	2	3	6		2	1	Due to unrest globally, the likelihood has increased. Robust plans are in place, good relations remain between Stansted Airport Ltd and UDC	Ensure that emergency plans are in place and that there is regular liaison with airport operator and engagement in emergency planning activities	Michael Perry

Risk Status	
	Alert
	High Risk
	Warning
	OK